

Docket No. 244104US0X CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Masayuki MOTONARI, et al.

SERIAL NO: New Continuation of 09/816,397

GAU:

FILED: HEREWITH

EXAMINER:

FOR: AQUEOUS DISPERSION FOR CHEMICAL MECHANICAL POLISHING

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. The references were cited on forms PTO-1449 and PTO-892 in parent U.S. Application No. 09/816,397, which was filed March 26, 2001.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

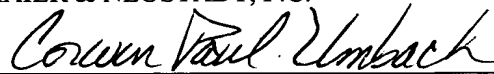
- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 244104US0X CONT		SERIAL NO. New Continuation of 09/816,397	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Masayuki MOTONARI, et al.			
				FILING DATE HEREWITH		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	AA	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,967,964	10/19/99	M. HATTORI, et al.			
	AB	5,814,687	09/29/98	K. KASAI, et al			
	AC	6,068,769	05/30/00	A. IIO, et al.			
	AD	5,690,539	11/25/97	R. SWIDLER, et al.			
	AE	5,738,800	04/14/98	S. D. HOSALI, et al.			
	AF	5,756,398	05/26/98	J. F. WANG, et. al.			
	AG	5,954,997	09/1999	KAUFMAN, et al.			
	AH	5,770,095	06/1998	SASAKI, et al.			
	AI	6,063,306	05/2000	KAUFMAN, et al.			
	AJ	6,126,853	10/2000	KAUFMAN, et al.			
	AK	6,136,714	10/2000	SHUTZ, Ronald Joseph			
	AL	6,383,240	05/2002	NISHIMOTO, et al.			
	AM	6,447,695	09/2002	MOTONARI, et al.			
	AN	6,454,819	09/2002	YANO, et al.			
	AO	6,383,240	05/2002	NISHIMOTO, et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AP	10-116804	05/06/98	JAPAN (w/English Abstract)			
	AQ	9-82668	03/28/97	JAPAN (w/English Abstract)			
	AR	4-291722	10/15/92	JAPAN (w/English Abstract)			
	AS	4-291723	10/15/92	JAPAN (w/English Abstract)			
	AT	4-291724	10/15/92	JAPAN (w/English Abstract)			
	AU	10-44047	02/17/98	JAPAN (w/English Translation)			
	AV	8-510437	11/05/96	JAPAN (with corr. WO 94/28194)			
	AW	94/28194	12/08/94	WIPO			
	AX	10-279928	10/20/98	JAPAN (w/English Abstract)			
	AT	10-46140	02/17/98	JAPAN (w/English Abstract)			
	AU	11-135466	05/21/99	JAPAN (w/English Abstract)			
	AV	11-40526	02/12/99	JAPAN (w/English Abstract)			
	AW	1-270447	10-09-98	JAPAN (w/English Abstract)			
	AX	1 077 240	02/21/01	EUROPE			
	AY	WO 99/64527	12/16/99	WIPO			
	AZ	0 846 742	06/10/98	EUROPE			
	BA	1 104 778	06/06/01	EUROPE			
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							